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Reg. No.....

Name.....

**B.Com. DEGREE (C.B.C.S.S.) EXAMINATION, MAY 2024**

**Fourth Semester**

Core Course—CAPITAL MARKET

(2012—2016 Admissions—Mercy Chance)

[For Private Registration Candidates]

Time : Three Hours

Maximum Weight : 25

**Instructions :** *This question paper contains two sections. Answer Section I questions in the answer book provided. Section II Internal Examination questions must be answered in the question paper itself. Follow the detailed instructions given under Section II.*

**Section I**

PART A

*This section consists of four branches of four questions each.*

*Each bunch of four questions carries a weight of 1.*

*Answer all questions.*

I. Choose the correct answer from the choices given :

1 Which among the following is an example of money market instrument ?

- (a) Debentures. (b) Equity Shares.  
(c) Preference Shares. (d) Commercial paper.

2 The regulatory body for the securities market in India :

- (a) RBI. (b) SEBI.  
(c) IRDA. (d) Stock Exchanges.

3 Settlement of Transactions in secondary market in India happens in :

- (a) T + 1 day. (b) T + 2 days.  
(c) T + 3 days. (d) T + 4 days.

**Turn over**





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4 NIFTY is the index of :

- (a) Bombay Stock Exchange.      (b) OTCEI.  
(c) National Stock Exchange.      (d) Cochin Stock Exchange.

II. Fill in the blanks :

5 \_\_\_\_\_ is the on-line trading platform of BSE.

6 \_\_\_\_\_ a standardized forward contract.

7 SEBI stands for \_\_\_\_\_.

8 NSDL stands for \_\_\_\_\_.

III. State whether the following statements are True *or* False :

9 Online trading platform requires the investors to come and shout in the floor of the exchange.

10 Futures contracts and forwards contracts are the same.

11 Letter of offer is the offer document prepared for rights issue.

12 Futures can be closed before maturity.

IV. Match the Following :

- |                 |  |
|-----------------|--|
| 13 Put Option   | — (i) Speculator.                      |
| 14 Money Market | — (ii) Department of SEBI.             |
| 15 Bull         | —(iii) Circular for purchasing shares. |
| 16 DNPD         | —(iv) Option to Sell.                  |
|                 | — (v) Certificate of deposit.          |
|                 | —(vi) Option to Buy.                   |

(4 × 1 = 4)





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### Part B

*Answer any **five** questions.*

*Each question carries a weight of 1.*

- 17 Who are jobbers ?
- 18 What are swaps ?
- 19 What is a GDR ?
- 20 Who is a stag ?
- 21 What is a bonus issue ?
- 22 Define derivatives.
- 23 Who is a lame duck ?
- 24 What is a certificate of deposit ?

(5 × 1 = 5)

### Part C

*Answer any **four** questions.*

*Each question carries a weight of 2.*

- 25 What do you mean by book building ? Explain the process of price discovery through book building.
- 26 Briefly explain the major International stock exchanges.
- 27 Describe the functions of SEBI.
- 28 List out the advantages of screen based trading.
- 29 Critically examine various financial derivatives.
- 30 Give a note on the defects of Indian money market.

(4 × 2 = 8)

**Turn over**





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**Part D**

*Answer any **two** questions.*

*Each question carries a weight of 4.*

31. What are the components of Indian money markets ?
32. Explain the procedure to be followed by an investor to buy a share.
33. Explain the working of financial intermediaries.

(2 × 4 = 8)

